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Audit Checklist

ISO 9001:2026 — Internal Audit Questions with Auditor’s Tips (Word edition)

Preview — showing 5 of 349 audit checkpoints from the full checklist, selected to show what changes when you audit against ISO 9001:2026.

|                          |                                                                                                                                      |
|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| NEW – 2026               | Marks a question added for the ISO 9001:2026 edition — new or changed requirements with no equivalent question in the 2015 checklist |
| Peach shading            | Question and auditor’s tip written for a 2026 change                                                                                 |
| <input type="checkbox"/> | Conforms — a clickable Yes/No checkbox for every checkpoint (Word content control)                                                   |
| FULL VERSION ONLY        | Questions and guidance included only in the complete checklist                                                                       |

Note: color coding is used throughout the document to highlight what is new in the 2026 edition — see the key above.

|                                                        |                                                                      |                                                                 |                                                        |
|--------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------|
| 11<br>new audit questions written for the 2026 edition | 6.1.1–6.1.3<br>clause 6.1 now has three sub-clauses — are you ready? | 10.3<br>no longer exists — do your audit records still cite it? | 349<br>checkpoints, each with a clickable Conforms box |
|--------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------|

| ISO 9001:2026 Clause                               | Questions                                                                                                                                                                   | Conforms                 | Verified Process  | Auditor’s tips (recommendations)                                                                                                                                                                                                              |
|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4.1 Understanding the organization and its context | FULL VERSION ONLY 4 questions on how external and internal issues are determined, monitored and reviewed                                                                    |                          |                   |                                                                                                                                                                                                                                               |
|                                                    | NEW – 2026<br>3. Has the organization determined whether climate change is a relevant issue for its QMS? What is the documented conclusion and its basis?                   | <input type="checkbox"/> | Management review | ISO 9001:2026 requires the organization to determine whether climate change is a relevant issue — and either conclusion must be reasoned.<br>... full auditor’s tip, links to related clauses and evidence examples in the complete checklist |
| 5.1.1 General                                      | FULL VERSION ONLY 4 questions on leadership, strategic direction, risk- and opportunity-based thinking and resources                                                        |                          |                   |                                                                                                                                                                                                                                               |
|                                                    | NEW – 2026<br>5. How does top management promote a quality culture and ethical behaviour within the organization? What evidence demonstrates this beyond policy statements? | <input type="checkbox"/> | Management review | Promoting a quality culture and ethical behaviour is now an explicit top-management duty in cl.5.1.1.<br>... full auditor’s tip, links to related clauses and evidence examples in the complete checklist                                     |

| ISO 9001:2026 Clause                                         | Questions                                                                                                                                                                                                                                                                                         | Conforms                 | Verified Process                  | Auditor's tips (recommendations)                                                                                                                                                                                                    |
|--------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 6.1 Actions to address risks and opportunities (6.1.1–6.1.3) | <b>FULL VERSION ONLY</b> 4 questions (7 checkpoints) on how risks and opportunities are determined in each audited process                                                                                                                                                                        |                          |                                   |                                                                                                                                                                                                                                     |
|                                                              | <b>NEW – 2026</b><br>5. (6.1.2) How are risks that could have an undesired effect on the ability to consistently provide conforming products and services and to enhance customer satisfaction determined, analyzed and evaluated? Are the planned actions proportionate to the potential impact? | <input type="checkbox"/> | Quality management, QMS processes | Clause 6.1 is now split into three sub-clauses, and 6.1.2 explicitly requires risks to be analyzed and evaluated.<br>... full auditor's tip, links to related clauses and evidence examples in the complete checklist               |
|                                                              | <b>NEW – 2026</b><br>6. (6.1.3) How are opportunities that could have a desired effect ... determined, analyzed and evaluated? What actions are planned, how are they integrated into QMS processes and how is their effectiveness evaluated?                                                     | <input type="checkbox"/> | Quality management, QMS processes | Opportunities now have their own sub-clause (6.1.3) — auditors will expect to see them handled as a separate stream from risks.<br>... full auditor's tip, links to related clauses and evidence examples in the complete checklist |
| 10.1 Continual improvement                                   | <b>FULL VERSION ONLY</b> 3 questions on evidence of improvement of products, services, the QMS and each audited process                                                                                                                                                                           |                          |                                   |                                                                                                                                                                                                                                     |
|                                                              | <b>NEW – 2026</b><br>4. How are the results of analysis and evaluation (sec.9.1.3) and of management review (sec.9.3.3) used to determine opportunities for improvement? ...                                                                                                                      | <input type="checkbox"/> | Management review                 | Clause 10.3 no longer exists — the 2026 edition merges the former 10.1 and 10.3 into a single cl.10.1.<br>... full auditor's tip, links to related clauses and evidence examples in the complete checklist                          |

*[End of preview. The complete checklist covers every requirement of clauses 4–10 with 349 checkpoints, each linked to the process to audit and backed by auditor's tips on what evidence to look for. It includes all 11 new 2026 questions — among them climate-related requirements of interested parties (4.2), who reports QMS performance to top management (5.3), communicating and reviewing changes (6.3), awareness of quality culture and ethical behaviour (7.3) and the new management review inputs (9.3.2) — plus 2026 updates for remote and hybrid work (7.1.3), information on disruptions to customers (8.2.1) and more. Visit the product page to purchase the complete Audit Checklist.]*

Purchase the full ISO 9001:2026 Audit Checklist (Word)

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